

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 55711-2 SERIAL NO. 09/607,891  
 APPLICANT: Garnet G. Morris GROUP ART UNIT:  
 FILING DATE: June 30, 2000

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>
H7D	4,876,648	10/24/89	Lloyd	364	408
↓	4,953,085	08/28/90	Atkins	364	408
↓	5,673,402	09/30/97	Ryan et al.	395	238
↓	5,819,230	10/6/98	Christie et al.	705	4

**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS/SUB</u>	<u>TRANSLATION YES/NO</u>
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**OTHER REFERENCES**  
**(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

H7D Beth Webel, "RCAs can boost entrepreneurs' borrowing power, retirement funds" ✓  
 The Globe and Mail, February 14, 2000  
 H7D William Kennedy, FS, FCIA, Sobeco Ernst & Young, "Key Considerations in Designing  
 Retirement Programs", Pages 135-144, undated  
 H7D Revenue Canada "Retirement Compensation Arrangements Guide", Pages 4-22  
 Targeted Investments Limited, "Retirement Compensation Arrangements Information", undated

EXAMINER: Hansh Dan DATE CONSIDERED: 10/30/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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10  
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